

## BIENNIAL CODE OF ETHICS - IN COMPLIANCE

| <u>Last Name</u>      | <u>First Name</u> | <u>Office Business Name</u>    | <u>Course Completion</u> |
|-----------------------|-------------------|--------------------------------|--------------------------|
| <b>Aguiar</b>         | Robin             | Century 21 Troop Real Estate   | 5/31/2017                |
| <b>Aguiar</b>         | Robin             | Century 21 Troop Real Estate   | 2/22/2017                |
| <b>Aguilar</b>        | Cynthia           | Century 21 Troop Real Estate   | 2/25/2018                |
| <b>Aguilera</b>       | Cindy             | Oasis Real Estate              | 5/18/2018                |
| <b>Alexander</b>      | Lyndall           | Wave Realty                    | 2/20/2017                |
| <b>Almada</b>         | Alfredo           | Realty Matters                 | 9/29/2017                |
| <b>Amezcuca</b>       | Armani            | VC Property Shoppe             | 3/13/2018                |
| <b>Anderson</b>       | Ashley            | KellerWilliamsWestVenturaCount | 3/13/2018                |
| <b>Arzate</b>         | Vicente           | Century 21 Troop Real Estate   | 8/21/2017                |
| <b>Aviles</b>         | Maria             | West Coast REALTORS            | 3/11/2017                |
| <b>Bacon</b>          | Cody              | Truth Realty                   | 9/8/2017                 |
| <b>Balades</b>        | Linda             | Comfort Real Estate Srvc Inc   | 8/26/2017                |
| <b>Baylor</b>         | Constina          | Truth Realty                   | 5/7/2018                 |
| <b>Bazaldua</b>       | Elizabeth         | AB Realty                      | 1/16/2018                |
| <b>Becker</b>         | Jeri              | NextHome Pacific Coast Realty  | 11/15/2017               |
| <b>Bennett</b>        | Peggy             | Berkshire HathawayHomeServices | 10/5/2017                |
| <b>Bird</b>           | Maggie            | RE/MAX Gold Coast REALTORS     | 2/10/2018                |
| <b>Bonime</b>         | Teryn             | KellerWilliamsWestVenturaCount | 10/4/2017                |
| <b>Boyd</b>           | Janice            | Sun Coast R. E.Downtown        | 5/25/2018                |
| <b>Bravo</b>          | Robert            | Bravo Properties               | 10/7/2017                |
| <b>Brunton</b>        | Adam              | KellerWilliamsWestVenturaCount | 5/29/2018                |
| <b>Burns</b>          | Lorraine          | VC Property Shoppe             | 11/1/2017                |
| <b>Capritto</b>       | Matt              | Berkshire Hathaway HomeService | 3/13/2018                |
| <b>Carrasco</b>       | Freddy            | RE/MAX Gold Coast REALTORS     | 12/8/2017                |
| <b>Carter</b>         | Rick              | Allison James Estate & Homes   | 8/22/2017                |
| <b>Castro</b>         | Diana             | Legacy Lending Services, Inc   | 4/3/2018                 |
| <b>Castro</b>         | Edward            | Pueblo Realty                  | 5/5/2017                 |
| <b>Centeno</b>        | Maria             | Troop Premier Prope            | 2/22/2018                |
| <b>Centineo-Mabee</b> | Desti             | RE/MAX Gold Coast-Beach Marina | 3/30/2017                |
| <b>Chacon</b>         | Ivan              | Truth Realty                   | 3/8/2018                 |
| <b>Charles</b>        | Ainslee           | VC Property Shoppe             | 5/18/2018                |

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|-------------------|-------------|--------------------------------|------------|
| <b>Cherry</b>     | Krista      | VC Property Shoppe             | 5/11/2018  |
| <b>Chin</b>       | Christopher | Seaside Realty, Inc            | 5/23/2018  |
| <b>Coello</b>     | Laurie      | Troop Premier Prope            | 7/9/2017   |
| <b>Colitti</b>    | Editha      | RE/MAX Gold Coast REALTORS     | 3/13/2018  |
| <b>Comstock</b>   | Tim         | Comstock & Calhoun R.E. Co.    | 3/13/2018  |
| <b>Connelly</b>   | Katherine   | Comfort Real Estate Srv Inc    | 2/15/2018  |
| <b>Costanzo</b>   | Yvonne      | RE/MAX Gold Coast REALTORS     | 10/19/2017 |
| <b>Cusick</b>     | Brooke      | ERA Cusick Realty              | 1/28/2017  |
| <b>Daggett</b>    | David       | 1st Choice Realty, Inc.        | 10/22/2017 |
| <b>Davis</b>      | Terri       | ERA Cusick Realty              | 3/13/2018  |
| <b>Davis</b>      | Timothy     | Realty ExecutivesCaliforniaCoa | 5/15/2017  |
| <b>DeLeon</b>     | Jorge       | Coldwell Banker Res Brokerage  | 3/13/2018  |
| <b>Diaz-Telly</b> | Cindy       | Coldwell Banker Residential    | 3/13/2018  |
| <b>Dodd</b>       | Susan       | Century 21 Troop Real Estate   | 5/25/2018  |
| <b>Dolan</b>      | Kim         | Beach View Real Estate         | 12/12/2017 |
| <b>Dorsey</b>     | Janet       | Century 21 Troop Real Estate   | 3/13/2018  |
| <b>Dougherty</b>  | Bob         | Sterling West Real Estate      | 5/10/2018  |
| <b>Douglas</b>    | Rachel      | Berkshire HathawayHomeServices | 7/7/2017   |
| <b>Douglas</b>    | Scott       | KR REALTORS                    | 2/28/2018  |
| <b>Durazo</b>     | Dawn        | Beach View Real Estate         | 3/18/2018  |
| <b>Durborow</b>   | Lixin       | Century 21 Troop Real Estate   | 3/13/2018  |
| <b>Eberhardt</b>  | Lei         | RE/MAX Gold Coast-Beach Office | 11/19/2017 |
| <b>Edwards</b>    | Don         | RE/MAX Gold Coast REALTORS     | 12/13/2017 |
| <b>Ellermann</b>  | Nancy       | KellerWilliamsWestVenturaCount | 6/2/2017   |
| <b>Ellermann</b>  | Peter       | KellerWilliamsWestVenturaCount | 6/4/2017   |
| <b>Elliott</b>    | Lyle        | Berkshire Hathaway HomeService | 3/15/2017  |
| <b>Elliott</b>    | Lyle        | Berkshire Hathaway HomeService | 3/13/2018  |
| <b>Ellis</b>      | Pat         | Realty World Golden Era        | 4/24/2017  |
| <b>Ensminger</b>  | David       | Comfort Real Estate Srv Inc    | 2/23/2017  |
| <b>Estes</b>      | Cheryle     | Comfort Real Estate Srv Inc    | 3/13/2018  |
| <b>Estrada</b>    | Yeny        | RE/MAX Gold Coast REALTORS     | 2/16/2018  |
| <b>Fernandez</b>  | Wen         | Century 21 Troop Real Estate   | 6/23/2017  |
| <b>Ferro</b>      | Brittney    | Joe Kapp Real Estate, Inc      | 9/1/2017   |
| <b>Fobi</b>       | Emmanuel    | Gold Coast Homes               | 2/27/2018  |
| <b>Forman</b>     | Danille     | LIV Sotheby's International    | 5/19/2018  |
| <b>Foster</b>     | Stephen     | Wave Realty                    | 5/4/2018   |
| <b>Fox</b>        | Al          | Al Fox Realty                  | 12/15/2017 |

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|------------------|-----------|--------------------------------|------------|
| <b>Fox</b>       | Melody    | Century 21 Troop Real Estate   | 3/13/2018  |
| <b>Freeman</b>   | Brett     | LIV Sotheby's International    | 10/14/2017 |
| <b>Freeman</b>   | Timothy   | Casas USA Realty               | 3/29/2018  |
| <b>Gagarin</b>   | Amelita   | MAS UNO REALTY                 | 9/1/2017   |
| <b>Gallardo</b>  | Ruben     | Realty ExecutivesCaliforniaCoa | 4/24/2017  |
| <b>Garcia</b>    | Monica    | Select Properties              | 4/15/2018  |
| <b>Garner</b>    | Laken     | LIV Sotheby's International    | 4/2/2018   |
| <b>Gaston</b>    | Aaron     | Berkshire Hathaway HomeService | 9/11/2017  |
| <b>Gaston</b>    | Grace     | Berkshire HathawayHomeServices | 10/13/2017 |
| <b>Gil</b>       | Ana       | ERA Cusick Realty              | 3/13/2018  |
| <b>Gill</b>      | Rick      | Comfort Real Estate Srvc Inc   | 3/13/2018  |
| <b>Goncalves</b> | Bridget   | Joe Kapp Real Estate, Inc      | 3/13/2018  |
| <b>Gonzales</b>  | Araceli   | Pat Willis Real Estate         | 3/13/2018  |
| <b>Gonzales</b>  | June      | VC Property Shoppe             | 5/16/2018  |
| <b>Graham</b>    | Cheryl    | ERA Cusick Realty              | 7/10/2017  |
| <b>Gregoryk</b>  | Mary      | Home Buyers Marketing II, Inc. | 2/19/2017  |
| <b>Greule</b>    | Jennifer  | Comfort Real Estate Srvc Inc   | 4/5/2018   |
| <b>Gutierrez</b> | Francisco | Oasis Real Estate              | 1/16/2018  |
| <b>Guzman</b>    | Norma     | Berkshire HathawayHomeServices | 4/13/2018  |
| <b>Hafer</b>     | Susie     | RE/MAX Gold Coast REALTORS     | 3/13/2018  |
| <b>Hansen</b>    | Anna      | Village Properties             | 10/2/2017  |
| <b>Harris</b>    | LaShelle  | Realty ONE Group Summit        | 4/9/2017   |
| <b>Hazz</b>      | Hans      | Gold Coast Investments         | 12/21/2017 |
| <b>Heard</b>     | Sher      | Comfort Real Estate Srvc Inc   | 3/13/2018  |
| <b>Hernandez</b> | Briana    | Century 21 Troop Real Estate   | 1/26/2018  |
| <b>Hernandez</b> | Carlos    | Oaktree Property Investment    | 9/29/2017  |
| <b>Hernandez</b> | Gabriela  | Century 21 Troop Real Estate   | 7/13/2017  |
| <b>Hood</b>      | Maggie    | Berkshire Hathaway HomeService | 4/13/2017  |
| <b>Ishikawa</b>  | Yuko      | Realty ONE Group Summit        | 12/3/2017  |
| <b>Iverson</b>   | Merilee   | Dilbeck Estates                | 5/11/2017  |
| <b>Jacketti</b>  | Sheila    | Sheila Jacketti Real Estate    | 4/18/2018  |
| <b>Jacketti</b>  | Sheila    | Sheila Jacketti Real Estate    | 6/29/2017  |
| <b>Jensen</b>    | Elizabeth | RE/MAX Gold Coast REALTORS     | 3/12/2018  |
| <b>Johnson</b>   | Chris     | Allison James Estate & Homes   | 5/31/2017  |
| <b>Johnson</b>   | William   | Century 21 Troop Real Estate   | 4/24/2017  |
| <b>Joyce</b>     | Paul      | RE/MAX Gold Coast REALTORS     | 9/10/2017  |
| <b>June</b>      | Jason     | Connect Realty.Com. Inc.       | 11/12/2017 |

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| <b>June</b>           | Raymond   | Connect Realty.Com. Inc.       | 6/2/2017   |
| <b>Keddington</b>     | Helene    | Century 21 Troop Real Estate   | 3/13/2018  |
| <b>Keith</b>          | Jim       | BHHS California Realty         | 3/13/2018  |
| <b>Keller</b>         | Cynthia   | RE/MAX Gold Coast-Beach Marina | 5/30/2018  |
| <b>Khamseng</b>       | Tee       | Century 21 Troop Real Estate   | 3/13/2018  |
| <b>Kim</b>            | Diana     | Beach View Real Estate         | 12/4/2017  |
| <b>King</b>           | Dale      | Century 21 Troop Real Estate   | 3/13/2018  |
| <b>King</b>           | George    | RE/MAX Gold Coast REALTORS     | 8/10/2017  |
| <b>Kinnear</b>        | Katherine | KR REALTORS                    | 2/28/2018  |
| <b>Lester-Barber</b>  | Linda     | Pacific Rim REALTORS           | 9/17/2017  |
| <b>Lewis</b>          | Therese   | Treasure Hill Realty           | 4/12/2018  |
| <b>Lin</b>            | Feng      | Century 21 Troop Real Estate   | 5/28/2018  |
| <b>Loeffler</b>       | Monica    | Monica Loeffler, Broker        | 12/9/2017  |
| <b>Long</b>           | Randy     | Century 21 Troop Real Estate   | 2/17/2017  |
| <b>Lowe</b>           | Eve       | Berkshire HathawayHomeServices | 5/21/2017  |
| <b>Lynch</b>          | Victoria  | Southland Realty               | 5/2/2018   |
| <b>Mai</b>            | Jonathan  | MAI Properties                 | 3/22/2017  |
| <b>Marin-Bueno</b>    | Argelia   | Home Savings Realty            | 3/15/2018  |
| <b>Marks</b>          | Todd      | RE/MAX Gold Coast REALTORS     | 4/26/2018  |
| <b>Marquez</b>        | Esther    | Century 21 Troop Real Estate   | 2/24/2018  |
| <b>Marshall</b>       | William   | Best in Show Realty            | 5/2/2018   |
| <b>Martell</b>        | Mina      | RE/MAX Gold Coast REALTORS     | 9/17/2017  |
| <b>Martinez</b>       | Maria     | Century 21 Troop Real Estate   | 6/30/2017  |
| <b>McMaster</b>       | Denise    | KellerWilliamsWestVenturaCount | 1/27/2018  |
| <b>McNamara</b>       | Janet     | McNamara Real Estate           | 5/14/2018  |
| <b>Meyer</b>          | Susan     | Premier Options                | 5/28/2018  |
| <b>Mitsuuchi</b>      | Leslie    | Century 21 Troop Real Estate   | 4/12/2017  |
| <b>Mohan</b>          | Roger     | Realty ONE Group Summit        | 8/6/2017   |
| <b>Moll</b>           | Hardy     | Hardy Moll Realty, Inc.        | 1/16/2017  |
| <b>Montecalvo</b>     | James     | Thrive California              | 7/19/2017  |
| <b>Montiel</b>        | Linda     | KellerWilliamsWestVenturaCount | 3/13/2018  |
| <b>Morales</b>        | Patricia  | Del Prado Financial            | 5/22/2018  |
| <b>Morelli</b>        | Daniel    | Daniel Morelli R.E. Broker     | 3/20/2018  |
| <b>Moreno-Ramirez</b> | Maria     | RE/MAX Gold Coast REALTORS     | 10/21/2017 |
| <b>Morris</b>         | Camille   | Century 21 Troop Real Estate   | 10/28/2017 |
| <b>Morris</b>         | Walter    | Century 21 Troop Real Estate   | 10/29/2017 |
| <b>Mulberry</b>       | Gloria    | Century 21 Troop Real Estate   | 10/29/2017 |

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| <b>Mulligan</b>     | Gwen        | LIV Sotheby's International    | 5/10/2018  |
| <b>Naskar</b>       | Angela      | Thrive California              | 3/13/2018  |
| <b>Navarro</b>      | Claudia     | RE Source For Your R.E.Service | 6/13/2017  |
| <b>Norman</b>       | Jean        | Berkshire HathawayHomeServices | 3/13/2018  |
| <b>Ohaco</b>        | Judy        | Century 21 Troop Real Estate   | 5/20/2018  |
| <b>Paige</b>        | Jeffrey     | Comstock & Calhoun R.E. Co.    | 4/6/2018   |
| <b>Parizo</b>       | Linda       | Coldwell Banker Residential    | 3/28/2018  |
| <b>Parizo</b>       | Linda       | Coldwell Banker Residential    | 3/23/2017  |
| <b>Parker</b>       | Sharron     | RE/MAX Gold Coast REALTORS     | 10/20/2017 |
| <b>Patrick</b>      | Stan        | Century 21 Troop Real Estate   | 3/11/2018  |
| <b>Paul</b>         | Gayle       | Gayle Paul, Broker             | 2/6/2018   |
| <b>Paulson</b>      | Nora        | Berkshire HathawayHomeServices | 3/13/2018  |
| <b>Peralta</b>      | Adrienne    | Forsyth & Rizzie REALTORS      | 11/20/2017 |
| <b>Pinsak</b>       | Cami        | Realty ONE Group Summit        | 3/13/2018  |
| <b>Podrat</b>       | Austin      | AP and Associates              | 1/4/2018   |
| <b>Poole</b>        | Deborah     | Century 21 Troop Real Estate   | 7/21/2017  |
| <b>Quinn</b>        | Briana      | RE/MAX Gold Coast REALTORS     | 3/13/2018  |
| <b>Ralstin</b>      | Aaron       | Real Estate Services Unlimited | 3/23/2018  |
| <b>Ramirez</b>      | John        | Plaza Associates               | 1/10/2018  |
| <b>Rangel</b>       | Earl        | Coldwell Banker Residential    | 4/3/2018   |
| <b>Rangel</b>       | Todd        | Sun Coast RE, Inc.             | 2/26/2017  |
| <b>Reach</b>        | James       | Reach Realty and Mortgage      | 11/27/2017 |
| <b>Reach</b>        | James       | Reach Realty and Mortgage      | 3/13/2018  |
| <b>Reed</b>         | Karla       | Wave Realty                    | 1/1/2018   |
| <b>Regnier</b>      | Irene       | Coldwell Banker Residential    | 7/20/2017  |
| <b>Ridgway</b>      | Robert      | KellerWilliamsWestVenturaCount | 1/10/2018  |
| <b>Rivera</b>       | Beatrice    | Realty ExecutivesCaliforniaCoa | 4/5/2018   |
| <b>Rojas</b>        | Melissa     | Century 21 Troop Real Estate   | 7/9/2017   |
| <b>Rooke</b>        | Karen Todey | Century 21 Troop Real Estate   | 10/27/2017 |
| <b>Ross</b>         | Jeff        | LIV Sotheby's International    | 3/31/2018  |
| <b>Rushing</b>      | Scott       | Rushing Real Estate & Inv.     | 6/25/2017  |
| <b>Rushing</b>      | Scott       | Rushing Real Estate & Inv.     | 3/13/2018  |
| <b>Sabedra</b>      | Dusty       | Realty ExecutivesCaliforniaCoa | 2/15/2017  |
| <b>Salas</b>        | Christina   | Realty ONE Group Summit        | 9/14/2017  |
| <b>Scarboroughh</b> | Janet       | RE Source For Your R.E.Service | 3/30/2018  |
| <b>Schliep</b>      | Diane       | Schliep Properties             | 2/5/2018   |
| <b>Schuepbach</b>   | David       | Schuepbach Real EstateServices | 3/13/2018  |

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|----------------------|-------------|--------------------------------|------------|
| <b>Schuster</b>      | Twila       | Joe Kapp Real Estate, Inc      | 1/7/2018   |
| <b>Seekins</b>       | Sandra      | Century 21 Troop Real Estate   | 3/13/2018  |
| <b>Selleck</b>       | Liz         | Century 21 Troop Real Estate   | 5/22/2017  |
| <b>Senff</b>         | Carol       | Century 21 Troop Real Estate   | 10/20/2017 |
| <b>Shapiro</b>       | Barry       | Keller Williams Exclusive Prop | 3/2/2017   |
| <b>Simpson</b>       | Sabrina     | Real Estate eBroker, Inc       | 3/13/2018  |
| <b>Smith</b>         | Brooke      | KellerWilliamsWestVenturaCount | 3/13/2018  |
| <b>Snowden</b>       | Richard     | Big Rich Realty                | 9/14/2017  |
| <b>Sosa</b>          | Adam        | Coastal Real Estate Services   | 1/15/2017  |
| <b>Spiess</b>        | Christopher | KellerWilliamsWestVenturaCount | 3/26/2018  |
| <b>Starobin</b>      | Gregory     | Gregory Simon Starobin         | 9/22/2017  |
| <b>Studebaker</b>    | Susan       | RE/MAX Gold Coast-Beach Marina | 5/9/2017   |
| <b>Tapia</b>         | Alejandra   | RE/MAX Gold Coast REALTORS     | 7/22/2017  |
| <b>Tellez</b>        | Gabriela    | Century 21 Troop Real Estate   | 8/9/2017   |
| <b>Theys-McHale</b>  | Tracey      | Century 21 Troop Real Estate   | 3/21/2017  |
| <b>Thoresen</b>      | Corina      | RE/MAX Gold Coast REALTORS     | 5/3/2017   |
| <b>Tomala</b>        | Jonathan    | Coldwell Banker Res Brokerage  | 4/22/2017  |
| <b>Triebold</b>      | Carolyn     | Dilbeck Estates                | 3/13/2018  |
| <b>Turner</b>        | Derek       | Ventura Real Estate Company    | 3/15/2018  |
| <b>Turner</b>        | Robin       | KellerWilliamsWestVenturaCount | 3/13/2018  |
| <b>Villasenor</b>    | Salvador    | RE/MAX Gold Coast REALTORS     | 5/31/2017  |
| <b>Virnig</b>        | Joe         | RE/MAX Gold Coast REALTORS     | 3/15/2017  |
| <b>Virnig</b>        | Joe         | RE/MAX Gold Coast REALTORS     | 10/15/2017 |
| <b>Vogl</b>          | Erich       | Coldwell Banker Res Brokerage  | 9/29/2017  |
| <b>Vogl</b>          | Lauren      | Coldwell Banker Res Brokerage  | 7/28/2017  |
| <b>Wagner</b>        | Heidi       | ERA Cusick Realty              | 3/13/2018  |
| <b>Walker</b>        | Robbie      | RE/MAX Gold Coast REALTORS     | 10/12/2017 |
| <b>Wallace</b>       | Laura       | Realty ONE Group Summit        | 6/11/2017  |
| <b>Wallis</b>        | Ken         | Century 21 Troop Real Estate   | 5/8/2018   |
| <b>Ward</b>          | Ronnette    | Treasure Hill Realty           | 4/5/2018   |
| <b>Wertenberger</b>  | Debbi       | Beach View Real Estate         | 11/26/2017 |
| <b>Wharmby</b>       | Daniel      | Coldwell Banker Residential    | 2/28/2018  |
| <b>White</b>         | John        | John O White                   | 7/13/2017  |
| <b>Williams</b>      | Lauren      | RE/MAX Gold Coast REALTORS     | 5/15/2017  |
| <b>Wilson-Bolton</b> | Kay         | Century 21 Troop Real Estate   | 3/13/2018  |
| <b>Wimberly</b>      | Mark        | Comstock & Calhoun R.E. Co.    | 1/20/2018  |
| <b>Wright</b>        | Harrison    | Century 21 Troop Real Estate   | 3/24/2018  |

**Zendejas Escamil** Lupe

Realty World Golden Era

2/28/2018